# Electronic Structure and Band Alignment at an Epitaxial Spinel/ Perovskite Heterojunction

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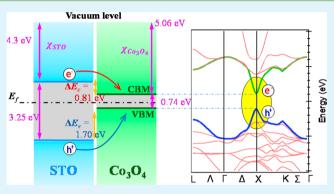
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## **Supporting Information**

**ABSTRACT:** The electronic properties of solid–solid interfaces play critical roles in a variety of technological applications. Recent advances of film epitaxy and characterization techniques have demonstrated a wealth of exotic phenomena at interfaces of oxide materials, which are critically dependent on the alignment of their energy bands across the interface. Here we report a combined photoemission and electrical investigation of the electronic structures across a prototypical spinel/perovskite heterojunction. Energy-level band alignment at an epitaxial  $Co_3O_4/SrTiO_3(001)$  heterointerface indicates a chemically abrupt, type I heterojunction without detectable band bending at both the film and substrate. The unexpected band alignment for this typical p-



type semiconductor on  $SrTiO_3$  is attributed to its intrinsic d-d interband excitation, which significantly narrows the fundamental band gap between the top of the valence band and the bottom of the conduction band. The formation of the type I heterojunction with a flat-band state results in a simultaneous confinement of both electrons and holes inside the  $Co_3O_4$  layer, thus rendering the epitaxial  $Co_3O_4/SrTiO_3(001)$  heterostructure to be a very promising material for high-efficiency luminescence and optoelectronic device applications.

KEYWORDS: spinel/perovskite heterojunction, electronic structure, band alignment, photoemission

# INTRODUCTION

Since first proposed by Keoemer et al. in 1954, heterojunctions composed of III–V and II–VI semiconductors have been the basis for the majority of solid-state devices.<sup>1</sup> In such devices, the energy-level band-alignment-induced valence- and conductionband discontinuities, or band offsets, between semiconductors are critical parameters in determining their physical properties, such as electron–hole pair separation, confinement, and transport behavior. Depending on the respective sizes of the energy gaps of the constituted materials, there are mainly two types of heterojunctions, straddling (type I) and staggered (type II). Successful engineering of these properties in semiconductors has been shown to be very important for information technology and energy applications.

As a comparison, our knowledge of band-alignment phenomena regarding analogous interfacial systems involving oxide materials is quite limited. For example, band offsets at rutile and anatase TiO<sub>2</sub> interfaces have been greatly debated over last 2 decades.<sup>2</sup> Recently, oxide materials, especially epitaxial oxide thin films, have been demonstrated to exhibit surprisingly enormous multifunctional properties, some of which are not possible in conventional semiconductors, e.g., colossal magnetoresistance and magnetoelectric coupling.<sup>3–5</sup> Critical scientific issues exist, ranging from adhesion of dissimilar oxide materials to interfacial electronic states, ionic and electronic reconstruction, and its effect on electron/spin/magnetic transport and scattering, for example, recent exciting discoveries and the resulting debates on the two-dimensional electron gas at perovskite/perovskite<sup>6,7</sup> and spinel/perovskite heterojunctions.<sup>8</sup> Therefore, unveiling the interfacial electronic

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structure and energy-level band alignment and determining the correct band-offset values for oxide heterojunctions are of vital importance for a deeper understanding and better control of these intriguing properties for the advancement of oxide electronics and photovolatics.

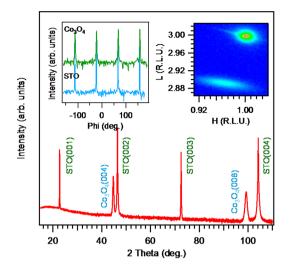
Spinel  $Co_3O_4$  is a very classic transitional-metal oxide that has been investigated for more than a half-century because of its unusual electronic, magnetic, optical, and chemical properties and important industrial interests, e.g., lithium battery, solar adsorption, gas sensing, and surface catalysis.<sup>9–11</sup> In contrast to their interesting bulk material properties and wide technological applications, fundamental knowledge regarding electronic fine structure, interband excitation, and band alignment at energy level remains much less understood and contradictions in the assignment of electronic transitions still remain for Co<sub>3</sub>O<sub>4</sub>.<sup>12–15</sup> Furthermore,  $SrTiO_3$  (STO) is a very important oxide substrate for the growth of high quality oxide thin films and heterostructures.<sup>16,17</sup> Epitaxial integration of  $Co_3O_4$  with STO and understanding the band alignment, energy offset, carrier confinement, and interfacial electronic structure of this particular heterojunction are important for the realization of highly efficient cobalt-based oxide devices.

In this paper, we report a combined photoemission spectroscopy and electrical-based energy-level band-alignment determination for epitaxial Co<sub>3</sub>O<sub>4</sub>/STO(001) heterojunction, at which unexpected, but potentially useful band-offset behavior is observed. Contrary to the general idea that intimate contact of a p-type semiconductor on top of an n-type one will result in a type II heterojunction, the band alignment at the Co<sub>3</sub>O<sub>4</sub>/ STO(001) heterointerface is actually a type I heterojunction. We demonstrate that this result is due to intrinsic d-d interband excitations of Co<sub>3</sub>O<sub>4</sub>, which significantly narrows the fundamental band gap between the top of the valence band (VB) and the bottom of the conduction band (CB). Basic electronic parameters, e.g., the work function and electron affinity, for Co<sub>3</sub>O<sub>4</sub> are also obtained based on Anderson's rule. Both the film and substrate exhibit a flat-band state without any detectable band bending at the heterojunction. These electronic features result in a simultaneous quantum confinement of both electrons and holes inside the Co<sub>3</sub>O<sub>4</sub> layer, thus rendering the  $Co_3O_4/STO(001)$  heterojunction to be a very promising material for high-efficiency luminescence and optoelectronic device applications.

#### RESULTS AND DISCUSSION

Figure 1 shows a typical out-of-plane X-ray diffraction (XRD)  $2\theta-\omega$  scan for a 100 nm Co<sub>3</sub>O<sub>4</sub> film grown on STO(001). It is seen that only the (002) and (004) peaks are observed, suggesting that the Co<sub>3</sub>O<sub>4</sub> film grows into a high (001) orientation without the presence of any detectable secondary phases or impurities. The absence of odd (*h*00) peaks, e.g., (001) and (003), is due to the extinction rule for a spinel lattice. In-plane lattice matching is measured through  $\varphi$  scans for both Co<sub>3</sub>O<sub>4</sub> and STO on (202) reflections, as shown in the left inset. The overlap of the four Bragg peaks for the film and substrate reveals the expected cube-on-cube growth with the epitaxial relationship Co<sub>3</sub>O<sub>4</sub>(100) [001]IISTO(100) [001].

Strain is known to have a large impact on some semiconductor band offsets, e.g., the Si/Ge system and piezoelectric GaN/AlN/InN.<sup>18,19</sup> However, because of the relative large lattice misfit between the film and substrate,  $(1/2a_{\rm film} - a_{\rm sub})/a_{\rm sub} \sim 3.46\%$ , the Co<sub>3</sub>O<sub>4</sub> film grown on STO is completely relaxed.<sup>20–22</sup> For these strain levels, the Matthews–



**Figure 1.** Typical XRD symmetric  $2\theta - \omega$  scan for 100 nm epitaxial Co<sub>3</sub>O<sub>4</sub>/STO indicating the spinel structure of Co<sub>3</sub>O<sub>4</sub>. The left inset shows the XRD (202)  $\varphi$  scan for both the film and substrate, indicating a cube-on-cube epitaxial relationship, and the right inset is the (103) RSM, showing that the film is relaxed but maintains epitaxy with STO.

Blakeslee elastic model predicts a critical thickness of approximately 1 unit cell.<sup>23</sup> This is also consistent with the reflective high-energy electron diffraction (RHEED) pattern observations, where no shift of the RHEED diffraction pattern occurs after the initial film growth. The right inset shows the (103) reciprocal space map (RSM) of the same  $Co_3O_4/STO$  film. The displacement of the momentum transfer along *H* between the film and substrate indicates a different in-plane lattice spacing, resulting in the relaxed structure of the epitaxial  $Co_3O_4$  film. These structural characterizations demonstrate the formation of a relaxed epitaxial  $Co_3O_4/STO$  heterostructure.

In photoionization processes, the band offset of a heterojunction with intimate contact of dissimilar materials is a measure of the energy differences of their valence-band maximum (VBM) or conduction-band minimum (CBM) between the two materials after alignment of their chemical potential, or Fermi level. Therefore, the binding energies of some specific core-level peaks and VBM for both the individual materials and their heterojunction are needed. Here we choose bulk STO(001) and the 100 nm  $Co_3O_4$  film as reference surfaces for pure materials. Two core-level peaks from STO (Sr  $3d_{5/2}$  and Ti  $2p_{3/2}$ ) and Co<sub>3</sub>O<sub>4</sub> (Co 3p and Co  $2p_{3/2}$ ) are chosen to calculate the band offset. Thus, four distinct combinations of core levels, with each pair consisting of one STO peak and one Co<sub>3</sub>O<sub>4</sub> peak, are used to check consistency.<sup>24,25</sup> VB offsets  $(\Delta E_v)$  are calculated based on the energy differences of the core-level peaks with respect to their VBM for the thick film, bulk substrate, and thin heterojunction, as developed by Kraut et al.<sup>26-28</sup> in the following expressions:

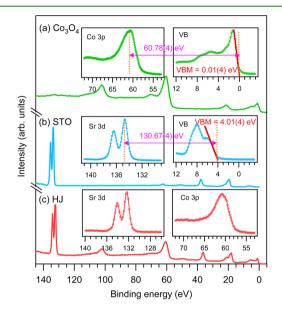
$$\Delta E_{v}^{\text{Co}\,2p-\text{Sr}} = (E_{\text{Co}\,2p} - E_{\nu})_{\text{Co}_{3}\text{O}_{4}} - (E_{\text{Sr}\,3d} - E_{\nu})_{\text{STO}} + (E_{\text{Sr}\,3d} - E_{\text{Co}\,2p})_{\text{HJ}}$$
(1)

$$\Delta E_{v}^{\text{Co}\,3p-\text{Sr}} = (E_{\text{Co}\,3p} - E_{v})_{\text{Co}_{3}\text{O}_{4}} - (E_{\text{Sr}\,3d} - E_{v})_{\text{STO}} + (E_{\text{Sr}\,3d} - E_{\text{Co}\,3p})_{\text{HJ}}$$
(2)

$$\Delta E_{v}^{\text{Co}\,2p-\text{Ti}} = (E_{\text{Co}\,2p} - E_{v})_{\text{Co}_{3}\text{O}_{4}} - (E_{\text{Ti}\,2p} - E_{v})_{\text{STO}} + (E_{\text{Ti}\,2p} - E_{\text{Co}\,2p})_{\text{HJ}}$$
(3)

$$\Delta E_{v}^{\text{Co}\,3p-\text{Ti}} = (E_{\text{Co}\,3p} - E_{v})_{\text{Co}_{3}\text{O}_{4}} - (E_{\text{Ti}\,2p} - E_{v})_{\text{STO}} + (E_{\text{Ti}\,2p} - E_{\text{Co}\,3p})_{\text{HJ}}$$
(4)

where the first two terms in eqs 1–4, labeled  $Co_3O_4$  and STO, are determined from the thick  $Co_3O_4$  film and the STO substrate, respectively, and the last term, labeled HJ, is measured from the 2 nm  $Co_3O_4/STO$  heterojunction. Figure 2 shows high-energy-resolution X-ray photoelectron spectros-



**Figure 2.** High-energy-resolution XPS spectra for a  $Co_3O_4$  thick film (a), bulk STO (b), and thin  $Co_3O_4(2 \text{ nm})/\text{STO}$  heterojunction (c) from -5 to 145 eV binding energy. The insets of each figure show the corresponding Co 3p, Sr  $3d_{5/2}$ , and VB spectra for all references. VBM values for  $Co_3O_4$  and STO are determined by linearly extrapolating the leading edge of the VB region to the extended baseline of the VB spectra, as indicated by the solid lines in the inset figures.

copy (XPS) survey spectra from -5 to 145 eV for Co<sub>3</sub>O<sub>4</sub>, STO, and  $Co_3O_4/STO_4$ , which cover the core levels of both Sr 3d and Co 3p as well as their VBs, as shown in insets. All of these spectra were collected during the same run (without breaking vacuum) to minimize the systematic uncertainty caused by surface charge compensation. The VBM values for the reference surfaces are determined through linear extrapolation of the leading edge of the VB region to the extended baseline of the VB spectra in order to account for the instrumental broadening. This linear method has already been proven to consistently yield correct VBMs for semiconductors with an accuracy of about  $\pm 0.1$  eV.<sup>29</sup> The obtained VBM values for reference  $Co_3O_4$  and bulk STO are 0.01(4) and 4.01(4) eV, respectively. The energy positions of the core-level peaks are obtained by fitting the spectra with a Voigt line shape. The Co 2p, Ti 2p, and Sr 3d spectra are well-resolved spin-orbital split pairs (see the Supporting Information, SI), and the energies of the more intense higher angular momentum peaks were used in the band-offset calculation, i.e., Co  $2p_{3/2}$ , Ti  $2p_{3/2}$ , and Sr  $3d_{5/2}$ . The Co 3p peaks in both thick and thin films exhibit a single peak and are slightly asymmetric because of the presence of a

shakeup excitation in the higher-energy shoulder. This is typical in 3d metal oxide, and the main peak at lower binding energy is used to calculate the peak position.<sup>7</sup> Particularly, the Ti 3s peak (see SI) partially overlaps with the Co 3p peak because of the relatively small binding energy differences (~1 eV), and this overlap becomes weaker when the film is thicker. Therefore, for a film thinner than 2 nm, the contribution of Ti 3s was also modeled through the use of a reference STO substrate with the appropriate photoelectron attenuation based on our previous method.<sup>24</sup> The energy differences between the core levels and VBMs for reference  $Co_3O_4$  are 779.68(4) and 60.78(4) for Co  $2p_{3/2}$  and Co 3p, respectively, and those values for STO are 455.97(4) and 130.67(4) for Ti  $2p_{3/2}$  and Sr  $3d_{5/2}$ , respectively. These data match the reported values for  $Co_3O_4^{9}$  and  $STO^{24}$  in the literature. We then measure the energy differences of each core-level pair in the thin heterojunction for the four orbitals. Thus, the calculated valence-band orbitals (VBOs) are  $\Delta E_v^{\text{Co } 2p-\text{Sr}} = 1.74(6) \text{ eV}, \ \Delta E_v^{\text{Co } 3p-\text{Sr}} = 1.64(6) \text{ eV}, \ \Delta E_v^{\text{Co } 2p-\text{Ti}} = 1.76(8) \text{ eV}, \ \text{and} \ \Delta E_v^{\text{Co } 3p-\text{Ti}} = 1.66(8) \text{ eV}, \ \text{respectively. The}$ arithmetic mean and standard deviation of these give a  $\Delta E_{\rm v}$ value of  $1.70 \pm 0.08$  eV. Further analysis on heterojunctions with 1 and 3 nm Co<sub>3</sub>O<sub>4</sub> yields similar  $\Delta E_v$  values of 1.72  $\pm$  0.08 and 1.65  $\pm$  0.04 eV, respectively. This indicates that when spinel Co<sub>3</sub>O<sub>4</sub> is aligned with perovskite STO in energy space, their respective band-edge positions do not change as a function of the film thickness, resulting in a constant bandoffset condition.

To confirm that there are no artifacts in the data, which may complicate the band offsets, the VB spectra of the  $Co_2O_4/STO$ heterojunctions were also simulated by shifting and summing the appropriately weighted high-resolution survey spectra for thick reference spectra for Co<sub>3</sub>O<sub>4</sub> and STO based on inelastic photoelectron attenuation models.<sup>24,25</sup> These spectra were shifted in energy and scaled so that the Sr 3d and Co 3p binding energies and peak areas match those of the heterojunction. The energy shifting is required to eliminate the differences between the core-level binding energies for the reference spectra and the heterojunction to cancel the surface potential accumulation induced by X-ray beam irradiation, while the intensity weighting is required to account for the inelastic attenuation of substrate photoelectrons by the overlaying epitaxial film.  $\Delta E_{\rm v}$  for the heterojunction is then calculated as the difference between the VBM for the two reference surfaces relative to the Fermi level. As expected, in this case, the direct superposition of the intensity-weighted VB spectra for the two clean surfaces mimics that of the actual heterojunction. The results of these simulations are shown in Figure 3a for Co<sub>3</sub>O<sub>4</sub>/STO heterojunctions with different thicknesses of the Co<sub>3</sub>O<sub>4</sub> layer from 1 to 3 nm. The agreements between the simulated and experimental heterojunction spectra are excellent with respect to both the positions and relative intensities for all features regardless of the Co<sub>3</sub>O<sub>4</sub> film thickness (see the dash-dotted lines in the figure), confirming the selfconsistency and accuracy of the method. Moreover, the high accuracy of the agreements also demonstrates that the interface of the Co<sub>3</sub>O<sub>4</sub>/STO heterojunction is chemically abrupt without the formation of any detectable interfacial states.

We determine the CB offset  $(\Delta E_c)$  using the following equation:

$$\Delta E_{\rm c} = \Delta E_{\rm v} + \left( E_{\rm g}^{\rm Co_3O_4} - E_{\rm g}^{\rm STO} \right) \tag{5}$$

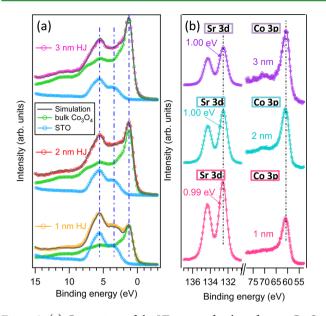
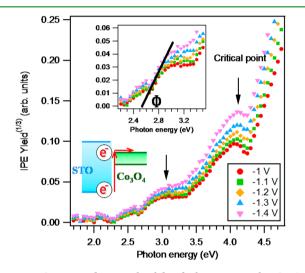


Figure 3. (a) Comparison of the VB spectra for the reference  $Co_3O_4$  thick film, bulk STO, and thin heterojunction with 1 nm (orange), 2 nm (red), and 3 nm (magenta)  $Co_3O_4$  coverage, as well as a simulation of the VB for each thin heterojunction. The matching of experimental spectra and simulation of the VB indicates that the interface of the  $Co_3O_4/STO$  heterojunction is chemically abrupt without the presence of any interfacial states. (b) Sr 3d and Co 3p core-level peaks as a function of the  $Co_3O_4$  layer thickness. The absence of peak shifting for these two core levels suggests no band bending at the heterojunction.

Note that  $\Delta E_c$  is critically dependent on the size of the band gaps for both the film and substrate. Electronic transitions in Co<sub>3</sub>O<sub>4</sub> had been previously investigated by optical absorption as well as ellipsometry, and multiple interband transitions had been demonstrated.<sup>12–15</sup> The typical band gap for  $Co_3O_4$ reported in the literature is 1.6–2.2 eV.<sup>12,30,31</sup> We have recently demonstrated that while the  $\sim$ 2.0 eV transition is the stronger optical excitation because of its larger oscillator strength of the p-d-type transition, it is not the fundamental band gap of this material.<sup>32</sup> Instead, the lower-energy transition at  $\sim 0.74$  eV should be considered as the fundamental band gap for Co<sub>3</sub>O<sub>4</sub>, as evidenced by our optical absorption and photoluminescence spectroscopy as well as photoluminescence excitation mapping.<sup>32</sup> Because both the top of the VB and the bottom of the CB are primarily of Co 3d features, this energy gap is considered a d-d-type interband transition. Using eq 5, the common  $E_{g}^{STO}$  of ~3.25 eV for STO,  ${}^{33,34} E_{g}^{Co_3O_4}$  of 0.74 eV, and  $\Delta E_{\rm v}$  of 1.70 eV,  $\Delta E_{\rm c}$  is determined to be  $-0.81 \pm 0.08$  eV. The negative value of the obtained  $\Delta E_c$  indicates that the CBM is lower in the epitaxial Co<sub>3</sub>O<sub>4</sub> film than in the STO substrate. On the basis of the obtained  $\Delta E_c$  value, some basic electronic parameters of  $Co_3O_4$ , i.e., the electron affinity ( $\chi$ ) and work function  $(\phi)$ , can be estimated using Anderson's electron affinity rule.<sup>35</sup> It is reported for undoped STO that the work function is 4.6 eV and the energy difference of the Fermi level versus the VBM  $(E_{\rm f} - E_{\rm v})$  is 2.9 eV, respectively.<sup>36</sup> Taking the  $E_{g}$  value of 3.25 eV for STO into account, the electron affinity for STO is calculated to be  $\chi = \phi + (E_f - E_v) - E_g = 4.25$  eV. Thus, the electron affinity for  $Co_3O_4$  is obtained by  $\chi_{Co_3O_4}$  =  $\chi_{\text{STO}}$  – CBO = 5.06 eV. This value is larger than 3.5 eV reported by Miura et al. by electrical measurement.<sup>37</sup> The inconsistency is ascribed to the relatively large error bar of their

original data (±0.5 eV) and the overestimation of the fundamental band gap for  $Co_3O_4$ . It is also important to note that  $Co_3O_4$  is a p-type semiconductor; thus, the Fermi level should be fairly close to the VBM. When a midgap position of the Fermi energy is assumed, the work function for  $Co_3O_4$  is ~5.43 eV, which is consistent with the estimated second-electron cutoff energy determined by ultraviolet photoelectron spectroscopy.<sup>38-40</sup>

The CB alignment is further confirmed by an electrical-based internal photoemission (IPE) spectroscopy method, which has been shown to be a robust technique to determine electronic band alignment in semiconductor heterostructures. When the transition of carriers is induced from one material to another through optical excitation, IPE can directly measure the band offsets at the interfaces.<sup>41,42</sup> It is seen in Figure 4 that, under



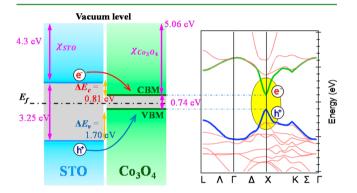
**Figure 4.** Constructed energy-level band alignment at the  $Co_3O_4/STO(001)$  heterojunction. The complete overlap of both the VB and CB of the  $Co_3O_4$  film with respect to the STO substrate indicates a type I heterojunction. The inset is a magnified IPE to show how the barrier height is extracted.

negative bias, the obtained photoelectric quantum yield shows several inflections as a function of the incidence photon energy. The peaks at 3.0 and 4.1 eV (black arrows) are critical points for bulk Co<sub>3</sub>O<sub>4</sub> and STO, respectively, indicating direct electronic transitions inside these two materials. From the onset of the photoelectron quantum yield, as shown in the inset of Figure 4, a barrier height of  $\Phi = 2.5$  eV is identified. This energy barrier corresponds to the interband electronic transition from the VB of STO to the CB of Co<sub>3</sub>O<sub>4</sub>, i.e.,  $\Phi =$ VBO +  $E_g^{Co_3O_4}$ , as illustrated in the bottom inset of Figure 4. The deduced  $E_g^{Co_3O_4}$  of 0.8 eV from IPE measurement matches well with our optically determined 0.74 eV, thus confirming both the position of the Co<sub>3</sub>O<sub>4</sub> and STO.

We have also used core-level XPS to determine the extent of band bending on each side of the interface. If band bending occurs in the near-interface region, the core-level peaks for each atomic layer will slightly shift until a stable depletion region is established. In order to cancel the surface-charging effect during photoelectron ionization, we grow the  $Co_3O_4$  thin film with different thicknesses on STO and align the measured XPS spectra with Sr 3d from the STO substrate,<sup>43</sup> as indicated in Figure 3b. The absence of an energy shift for Co 3p peaks with increasing film thickness from 1 to 3 nm suggests that there is

no built-in electric field on the film side. This is in contrast to typical polar–nonpolar oxide heterostructures, e.g., LaAlO<sub>3</sub>/STO<sup>44,45</sup> and LaCrO<sub>3</sub>/STO,<sup>43</sup> the residual electrical potential of which is expected to be due to uncompensated polar discontinuity. To check the band bending on the substrate side, we focus on the Sr  $3d_{5/2}$  peak because it exhibits a narrow peak with full-width at half-maximum (FWHM) near 1.0 eV; thus, any band bending of the magnitude comparable to the FWHM over the XPS probe depth will lead to measurable peak broadening for the Sr 3d spectrum. The measured Sr 3d FWHM values for all three heterojunctions are less than 0.1 eV larger than 1.0 eV of the bulk STO surface, which indicates that the STO substrate is also in a flat-band state.

Finally, a constructed experimental energy-level band-alignment diagram based on electrical and photoemission spectroscopy for epitaxial  $Co_3O_4/STO$  is illustrated in Figure 5. Because



**Figure 5.** Constructed energy-level band alignment at the  $Co_3O_4/STO(001)$  heterojunction (left) and calculated band structure of  $Co_3O_4$  (right). The complete overlap of both the VB and CB of the  $Co_3O_4$  film with respect to the STO substrate indicates a type I heterojunction.

of the small value of the direct d-d gap for Co<sub>3</sub>O<sub>4</sub> compared with the large indirect p-d gap for STO, the alignment of these two materials in energy space results in a large VB offset and a small CB offset at their interface, with the ratio of  $\Delta E_v / \Delta E_c$  as ~2:1. As a consequence, the epitaxial  $Co_3O_4/STO$  has a straddling band alignment, forming a direct-gap type I heterojunction, which is similar to traditional semiconductor systems of GaN/InN and GaNAs/GaAs. 46,47 This type of band alignment will spatially confine both carriers of electrons and holes solely inside the thin Co<sub>3</sub>O<sub>4</sub> layer with an electronic ground state belonging to the X minimum of the CB for Co<sub>3</sub>O<sub>4</sub> (see the electronic band structure in the right panel of Figure 5). This behavior is unexpected because bulk Co<sub>3</sub>O<sub>4</sub> is a typical p-type semiconductor, and it is thought that when combined with STO, a type II band alignment may form at the heterojunction, with carriers being spatially separated in real space, i.e., electrons being localized in the STO substrate and holes confined in the Co<sub>3</sub>O<sub>4</sub> film. The formation of type I instead of type II band alignment is due to the intrinsic d-d interband excitation of Co<sub>3</sub>O<sub>4</sub>, which significantly narrows the fundamental band gap between the top of the VB and the bottom of the CB. Fortunately, for a Co<sub>3</sub>O<sub>4</sub>/STO heterojunction with a type I band alignment, we expect a much faster electron-hole recombination (due to the relatively large overlap of their wave functions) and a smaller radiative lifetime upon external photon or field excitation than with a type II heterojunction, where indirect transition is required between separated holes and electrons from both materials.

## SUMMARY AND CONCLUSIONS

In summary, high-quality  $Co_3O_4/STO(001)$  heterojunctions with chemically abrupt interfaces have been prepared by pulsed laser deposition (PLD). VB and CB offsets have been determined by XPS to be  $1.70 \pm 0.08$  and  $-0.81 \pm 0.08$  eV, respectively. Both XPS and IPE suggest a type I instead of type II band alignment for the heterojunction. The difference is ascribed to the intrinsic d-d interband excitation of  $Co_3O_4$ , which significantly narrows the fundamental band gap between the top of the VB and the bottom of the CB. No band bending is observed in either the film or substrate, suggesting a flat-band state. The obtained straddling band alignment with a flat CB or VB offset at the epitaxial  $Co_3O_4/STO$  heterojunction is very promising to be used in high-efficiency luminescence and optoelectronic devices.

### METHODS

High-quality Co<sub>3</sub>O<sub>4</sub> films were epitaxially grown on STO(001) substrates by PLD from a stoichiometric Co<sub>3</sub>O<sub>4</sub> target. Laser ablation was performed at a repetition rate of 10 Hz and an energy density of 1.5 J/cm<sup>2</sup> with a 248 nm KrF excimer. Atomically flat TiO<sub>2</sub>-terminated STO substrates were prepared as described previously.<sup>48</sup> Films were grown in 27 Pa oxygen, at a substrate temperature of 650 °C, and cooled to room temperature in 2.7 × 10<sup>4</sup> Pa O<sub>2</sub>. Film thicknesses ranged from 1 to 200 nm and were determined by spectroscopic ellipsometry and cross-sectional scanning electron microscopy. Film growth was monitored by in situ RHEED, and the film thickness was measured by X-ray reflectivity. The crystal structure and epitaxial relationship were determined by high-resolution XRD using a PANalytical four-circle diffractometer in  $2\theta - \omega$ , rocking curve,  $\varphi$  scan, and RSM modes.

The electronic structure, band-edge alignment, energy offsets, and band bending were determined by XPS using a Thermo Scientific K-Alpha XPS instrument (certain commercial equipment, instruments, or materials are identified in this report in order to specify the experimental procedure adequately; such identification is not intended to imply recommendation or endorsement by the National Institute of Standards and Technology, nor is it intended to imply that the materials or equipment identified are necessarily the best available for the purpose), consisting of a hemispherical electron-energy analyzer and a monochromatic Al  $K\alpha_1$  X-ray source. The absolute bindingenergy scale was calibrated using the Au  $4f_{7/2}$  core level at  $84.00 \pm 0.02$ eV; thus, the Fermi-edge inflection point was at 0.00  $\pm$  0.02 eV. For band-offset measurement, relatively thin epitaxial Co3O4/STO heterojunctions with thicknesses of a few nanometers were used because of the relatively smaller probe depth for soft XPS of ~45 Å. Sample charging during photoionization is a typical issue for semiconducting/insulating oxides; however, we had previously demonstrated that when properly tuned, the low-energy flood gun still allows high-energy resolution spectra to be obtained with essentially negligible line-shape distortion.43

The IPE measurement system is comprised of a 150 W broad-band xenon light source in conjunction with a grating monochromator. A regulated low-noise direct-current voltage supply provides the bias that is applied to the back gate of the test structure. An electrometer with subpicoampere precision records the photocurrent (*I*), while a monochromator scans the spectral range. The photoelectric quantum yield (*Y*) is defined as the ratio of the photocurrent and the flux (*P*) of the incident light. Further details of the measurement setup can be found elsewhere.<sup>49</sup> The measured device has a multilayer structure of Al<sub>2</sub>O<sub>3</sub> (20 nm)/Co<sub>3</sub>O<sub>4</sub> (50 nm)/STO (10 nm). A 20 nm atomic layer deposition Al<sub>2</sub>O<sub>3</sub> film is used to reduce the leakage current and therefore to improve accurate data extraction.<sup>50</sup> Owing to its optical transparency and electrical conductivity, the top electrode is a largearea single-layer graphene grown by chemical vapor deposition and was transferred to the device surface.<sup>41,42</sup> The bottom electrode is 100

nm SrRuO<sub>3</sub>, which is also grown by PLD. The whole device is epitaxially grown on an atomically flat STO(001) substrate.

#### ASSOCIATED CONTENT

#### **S** Supporting Information

Additional XPS and peak fittings for the reference  $Co_3O_4$  film and STO substrate. This material is available free of charge via the Internet at http://pubs.acs.org.

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#### Notes

The authors declare no competing financial interest.

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